

No.	RFP Section	RFP Page	Respondent Question	SCERS Response
1	1	5	Can you confirm that "implementing a new investment accounting system" is not part of the scope of this RFP? If it is part of the scope, can you provide specific requirements for the accounting system?	Implementing a new Investment Accounting System is not within of the scope of this RFP. The information was provided as part of the background on the overall SCERS IT Improvement efforts that this implementation will be included in.
2	1	6	Please describe how the information for service credit accrued and salary from another system is currently obtained.	Reciprocal data is collected via mail between SCERS and the reciprocal system using the Intersystem Membership Advice form. The process is manual - the form is mailed to the reciprocal system, and once the information is returned, SCERS uses an internal form to input the data into MBASE.
3	3	8	<i>RFP Section 3, item 4, and RFP Section 13 indicate that two references must be from North American pension clients. However, Attachment 1 requests two United States public pension clients. Please confirm that SCERS will accept all references from North American pension clients.</i>	RFP Section 3 is correct. SCERS will expect references from 2 NA pension systems.
4	4	8	Based on the RFP timeline, would it be appropriate to use 10/1/17 as a start date for project plans and implementation timing?	Yes, 10/1 is a reasonable date to use as an estimate.
5	4.6	6	Will SCERS plan to have an agenda for the Individual Bidders Meeting and drive the meeting or are such activities expected from the Vendor?	The meeting is an opportunity for the vendors to ask questions of SCERS in a confidential setting. It is therefore the bidders' responsibility to formulate an agenda for this meeting. As a reminder, the bidders should not present marketing or sales information.
6	5.1		The RFP states in part C - Includes an integrated Electronic Content Management (ECM) functionality, either as a tightly integrated third-party application or a modularized component of the PAS software that can be 'turned on or off'. SCERS may replace its current FileNet ECM software as part of this project. What timeframe is SCERS looking to replace FileNet?	SCERS may not replace FileNet, but it is open to the option of doing so. If a replacement is opted for, it would have to be completed by the go-live date.
7	5.1	6	Please describe any challenges with SCERS' current FileNet EDMS software.	The EDMS lacks workflow and automation. The biggest issue is the timing of documents being added to FileNet - there is a lag.

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8	5.1	12	Please confirm as a base proposal SCERS would like the vendor to propose replacing the FileNet imaging system.	The proposal should include the potential cost associated with replacing the FileNet system.
9	5.1	11	Can you identify the current hardware and operating system software (including version) on the scanning stations utilized to scan documents into FileNet? What version of Kofax is currently being used with the FileNet solution? How many concurrent Capture licenses do you own?	The vendors should propose an EDMS and scanning solution that assumes that they will not be reusing any hardware or software that will be provided by SCERS or DTech.
10	5.1	11	Do you anticipate any change in the number of SCERS' staff performing scanning or indexing?	That has not yet been determined.
11	5.1	11	When an active member retires, is all of the member's data manually moved from MBASE to COMPASS?	All the information that is needed to pay benefits to a retiree is manually moved to COMPASS.
12	5.1	11	Is it correct to say that for the purpose of this modernization project, you are only replacing the benefit payments for retirees and beneficiary's functionality that exists in COMPASS today?	The major objective of the project is to centralize all member functions into a single system as well as integrate with a G/L. This will involve migrating off of both MBASE and COMPASS.
13	5.2	12	When an active member is ready to retire, what is the process for moving data from MBASE to COMPASS (i.e., when does the data move and which system/data is used to generate the benefit calculation)?	When a member applies for retirement and the application is processed, staff determine reserve balances and calculate the monthly benefit that the member is entitled to and manually enters the data from one system to the next. MBASE is used to run calculations and generate the option forms needed to complete the retirement process and COMPASS is used for the payment of monthly benefits. Please refer to the process documentation for more details.
14	5.2	12	You mentioned that active data between 1991-1998 will require more cleansing than other data. Will this data be available to the implementation vendor as summary or detailed transaction data?	This was information provided for informational purposes only and refers to work that will be performed by the data conversion vendor, ICON.

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15	5.4	12	Will the data conversion vendor (ICON) be responsible for profiling and mapping data from all data sources identified in section 5.4 (including Excel spreadsheets, etc.)?	ICON will be responsible for all data conversion and mapping activities. ICON will be responsible for moving data from the legacy to TSD, and then from the TSD to the target database with the vendor's assistance. ICON will present the data in the form the vendor requests with the legacy data values. The PAS vendor is responsible for transformations between the TSD and the target DB.
16	5.5	13	Please elaborate the responsibility of the bidder for the data conversion and data cleansing. The RFP tells us that the data conversion vendor is responsible for data conversion and the bidder is responsible for loading data, which is part of a typical ETL (Extract-Transform-Load) process. We do understand that the bidder will have to provide information / clarification related to the data model and provide knowledge on the bidder's solution. We also understand that the project PMO along with the bidder's project manager will need to monitor all data conversion activities to ensure they are adhering to the project plan.	ICON will be responsible for all data conversion and mapping activities. ICON will be responsible for moving data from the legacy to TSD, and then from the TSD to the target database with the vendor's assistance. ICON will present the data in the form the vendor requests with the legacy data values. The PAS vendor is responsible for transformations between the TSD and the target DB.
17	5.5	13	Section 5.5 indicates that there are known data quality issues due to limitations of the current system and that benefit calculations often require more detail than what is currently being imported from the employer payroll systems. Will ICON be responsible for identifying and correcting data gaps that currently require SCERS to use external spreadsheets and pull additional information from employers?	SCERS and ICON have primary responsibility for data cleansing and the vendor will be expected to provide feedback and consultation as required.
18	5.5	13	Please confirm that the data conversion vendor (ICON) is responsible for all data cleansing activities.	SCERS and ICON have primary responsibility for data cleansing and the vendor will be expected to provide feedback and consultation as required.

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19	5.6	13	Will the data conversion vendor (ICON) be responsible for transferring data to the PAS vendor to support each software deliverable and corresponding UAT session?	Yes, ICON will be responsible for transferring data to the PAS vendor to support each software deliverable.
20	5.6	13	Will staging and transformation databases be located onsite with SCERS? Or will ICON be housing SCERS conversion databases elsewhere?	The staging and transformation will be hosted by DTech at the County data center.
21	5.6	13	Are there additional ICON requirements which may impact or conflict with the PAS implementation? For example, are there requirements regarding the number or frequency of data conversions to be processed by ICON throughout the implementation for data review and validation?	It is unlikely that ICON's methodology or approach will significantly impact the PAS vendor's approach to loading, testing, or validating data. ICON and SCERS will work collaboratively with the PAS vendor on the data conversion.
22	6	16	In Section 6.3.3, item F, SCERS requires us to advise you on how the connection should be secured and the level of encryption necessary to maintain a "secure system". In Section 11.1, SCERS indicates that you may be subject to an audit of the system security model and that the vendor is responsible for proposing a system "that fulfills SCERS' needs" regarding security. In our experience, this is a very broad topic with many varying opinions. Can SCERS specify the level of security you believe is needed in order to have a secure system that fulfills your needs?	We are looking for the vendor to describe its security practice, tools, and standards. SCERS does not purport to be experts in cyber security and is looking for the vendor to propose a method that meets industry standards and best practices. The vendor's response should detail these practices and standards.
23	6.3	15	For Option 1: DTech-Hosted solution, what is the preferred hardware vendor to be used for the servers?	The vendor should propose their recommended hardware solutions
24	6.3	15	For Option 1: DTech-Hosted solution, are there existing network connections that can be leveraged?	Yes, DTECH will provide all required network connections.
25	6.3	15	Would SCERS consider a 4 <sup>th</sup> option: Vendor hosted during Development and Testing, then hosted at a 3 <sup>rd</sup> party upon "Go-Live"?	Yes, SCERS would consider this a viable option.

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26	7	18	Does SCERS require a hardcopy result of the actual background check or just confirmation that the employee's background check was cleared?	Written confirmation of industry-standard criminal background check will be sufficient.
27	7	18	Does SCERS require background checks for all subcontractor employees who have access to SCERS data?	Yes.
28	7	18	If the bidder obtains background checks of new employees at the time of hire, does that meet the background checking requirement or does SCERS require new background checks for employees who have access to SCERS data regardless of how long the employee has served with the bidder?	As long as the employee has had continuous employment with the vendor, background check at time of hire is sufficient.
29	7	19	Unfortunately, our experience is that every customer agreement is unique. Can SCERS clarify what you believe would be relevant in the agreement to "Most Favorable Terms"?	SCERS is requesting that the bidder provide most favorable terms relative to similar bids that have been offered to other systems of similar size and complexity. Examples include implementation pricing, maintenance and support hourly rates, warranty terms, and hosting pricing.
30	7.1	20	We are willing to commit to the pricing being valid for one (1) year for our products/services. Some pricing components in our proposal may be related to hardware, commodity software, or other 3 <sup>rd</sup> party vendors who will not commit to their pricing for that length of time. Is SCERS willing to eliminate that requirement for pricing for these vendors?	SCERS will waive this requirement for hardware and commodity software, since the vendor cannot control pricing of these items. SCERS would need to see what services the vendor has proposed to understand why the vendor cannot control pricing for a year.
31	8	N/A	Can SCERS explain why it is important to know how many employees the company has associated with pension administration systems in the "Northeast Region"?	The vendor should state the number of employees in the Western Region of the United States. In the instance where a key project person is unavailable, SCERS would like to know the other resources that a Bidder has readily available to meet the needs of the project.
32	8	N/A	Does SCERS require a list of all company offices or only those in the U.S.?	SCERS is requesting a list of all company offices both in the U.S. and abroad.

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33	9	1	Please confirm that SCERS is requesting that the vendor provide both an electronic Microsoft Project file <u>and</u> PDF file for the project schedule to include vendor and vendor's subcontractor tasks.	Yes, that is correct.
34	9	6	This section requires the Lead Business Analyst to be on site for build validation. Can you clarify what "build validation" is referring to?	Build validation refers to the initial loading of the solution into a test environment for SCERS' project team to test.
35	11	26	<i>RFP Section 11 states that the implementation timeline should span 42-48 months. However, in Attachment 11, Cost Worksheet, the implementation period is defined as Year 1-Year 3, with the warranty period as Year 4. Please clarify these two items: a) The warranty period should be included in the 42-48-month implementation timeline. b) Implementation fees, regardless of the implementation timeline, will be paid over a 3-year calendar period only.</i>	An updated cost worksheet is attached. The implementation is expected to be 42-48 months.
36	11.1	26	Does SCERS plan to use an oversight vendor during the implementation project? If so, is it likely that the same vendor will also be the "third-party implementation specialist"?	SCERS intends to provide project oversight during the PAS implementation and they will be acting as the third-party implementation specialist, along with other contractors, specialized staffing, and resources as needed.
37	11.1	26	The RFP states "It is SCERS' intent to engage a third-party implementation specialist to augment SCERS resources, and provide PAS implementation expertise." Has the third-party specialist been selected? If so, what will their role be on the project?	See above.

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38	11.1	26	We understand that no SCERS staff resources will be 100% dedicated to this project. Can the vendor assume a number of equivalent full time employees (FTEs) to be available for project tasks during the implementation project? If so, how many equivalent FTEs would be available?	The vendors will need to provide resource requirements in the responses. SCERS will provide staff and specialized resources based on the vendor's requirements.
39	11.1	26	The RFP states "SCERS' core team includes several staff SMEs. Staff at SCERS will prioritize this project to meet the reasonable needs of the software Bidder. However, there will be no SCERS staff resources who will be 100% dedicated to this project." How many staff are available to participate on the project and how much of their time will be available to the project?	See above.
40	11.1	27	Can SCERS provide more information about its expectation on how often the audits would be conducted and what the vendor will be asked to do related to the audit?	The specifics of the audit have not yet been determined.
41	11.1	26	The RFP states "The general approach to implementation is expected to be "big-bang." Will SCERS consider implementations other than "big-bang"?"	SCERS will not consider any implementations that require back-bridging of data. The vendor may propose phasing the project in a manner that does not require back-bridging and stays within the 42-48 month time frame.
42	11.1	26	The RFP States "The Bidder can employ their own hybrid of classical Waterfall methods and/or Agile methods, although Agile is strongly preferred." What concepts of the Agile methodology are most appealing to SCERS for use on this project?	SCERS is looking for a vendor to be able to produce prototypes during design so that SCERS' is able to see the application as it is being designed. SCERS would like multiple builds delivered for validation testing.
43	11.1	27 and 32	In section 11.1 it states that SCERS expects that the Bidder will provide training for key staff on the system. In Section 11.2.6 it states that the bidder must conduct the training for all users. Please clarify if we are to train key staff or all users, and how many business users and how many IT/technical staff will need to be trained.	The initial training will be for all users; SCERS estimates the numbers to be 40 business users (see the RFP for more details on staff growth). SCERS also is requesting power-user training for a limited number of users. Technical training requirements will depending on the hosting decision and application support.

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44	11.2	28	To adequately estimate training services, can you advise the number of users who will be using the pension administration system within SCERS?	See above.
45	13	33	This section requests references to be included in Attachment 6. Should this section request that the references be provided in Attachment 8 Organization & References?	Yes, this should be Attachment 8.
46	13	N/A	Is a signature required for each individual working on the project or just the employer?	The employer is sufficient.
47	14.1	34	This section requests assumptions be provided in Attachment 8. Should this section request that the assumptions be provided in Attachment 10 Assumptions & Exceptions?	Yes, this should be Attachment 10.
48	15		<p>1) It is mentioned that Agile method is preferred, but it also mentions that no SCERS staff will be available 100%. Agile requires 100% of SME(s), Scrum Master, QA and Developers. It appears using Agile would contradict the constraint of SCERS staff not being available 100%. Please Clarify?</p> <p>2) What will be approximate maximum availability of SCERS staff for the implementation of the solution? E.g. 40%</p>	<p>The Agile methodology desired by SCERS is a modified agile approach – prototyping, frequent build releases, etc. SCERS will provide sufficient staff for the project as answered in previous questions.</p> <p>See previous answers regarding projects staffing (question 44).</p>
49	15		Can SCERS provide a weight distribution between the review criteria?	SCERS is not releasing the weighting for the evaluation criteria.
50	15.2	35, 36	Could SCERS kindly extend the hard copy and CD-ROM delivery to the week following the electronic due date? Currently per the RFP the hard copy seems to be due at 5 PM on May 10th, and the electronic by 11:59 PM on May 10th.	<b>The due date for all materials is 5 pm on 5/10/2017. SCERS will not have separate due dates.</b>
51	15.2		Can SCERS provide further clarification on the statement Section 15.2 Proposal Review - "These are generally evenly weighted with a greater emphasis on requirements and less on the cost"?	SCERS is interested in procuring a system that can meet the business rules, functional and technical requirements at a reasonable cost.



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52	16	36	<p><i>The RFP states: "The electronic copies must be copied onto CD-ROM and DVD media."</i></p> <p><i>Please confirm if SCERS would also accept electronic copies on a USB key.</i></p>	Yes, SCERS will accept a copy of the RFP response on a USB key.
53	16		Can SCERS please clarify the electronic submission requirement. The RFP states that electronic submission to be copied onto CD-ROM or DVD media and delivered to SCERS. Three paragraphs down it states that "one emailed electronic submission to SCERS at SCERS-IT@saccounty.net....". Is the expectation that one electronic copy will be submitted on some form of digital media as well as an emailed electronic submission, resulting in two electronic submissions? If so, is flash drive an acceptable form of digital media?	Yes, SCERS will accept a copy of the RFP response on a USB key. The bidders are not required to email the RFP responses, but can if the files are of a size to allow this. However, the bidders MUST submit their responses via electronic media (USB key, for example) with their paper copy submission.
54	16.1	36-37	<p><i>Attachment 2 (Executive Summary and Table of Contents) is not included in the RFP and Attachment 11 (Cost Proposal Worksheet) is incomplete.</i></p> <p><i>Please confirm when Attachment 2 and Attachment 11 will be made available.</i></p>	Attachment 2 should be created by the Bidder and should consist of an Executive Summary and Table of Contents for the bidder's response. Attachment 11 is attached to the RFP.
55	16.1	36	This section references an Attachment 2 as an Executive Summary and Table of Contents. However, there is no Attachment 2 to the RFP. Should there be an Attachment 2 to the RFP?	Attachment 2 should be created by the Bidder and should consist of an Executive Summary and Table of Contents for the bidder's response.
56	Functional Requirements - 3.004	12	Will multiple payrolls on different schedule for a single sponsor mean multiple location? Or does it mean a single Plan Sponsor with one billing reporting entity/location will have multiple schedules?	Each employer reports using a single payroll schedule. Different employers have difference pay schedules.
57	Functional Requirements - 3.011	13	Will the payroll transmittal files coming from Employers be streamlined into one/standard format?	See above.

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58	Functional Requirements - 3.007	12	Is the Web Transmittal Entry here referencing Employer originated entries (not originating from members)?	Yes, this is meant to reference Employer originated entries.
59	Functional Requirements - 3.008	12	The payroll schedule flexibility is required at the Transmittal file level or at each member level? If at each member level, would it be reasonable expect that each row will be within a defined payroll cycle?	The payroll schedule flexibility is required at the transmittal file level.
60	Functional Requirements - 3.056	21	Account Receivable view would be at an Employer level?	Account receivable should at both the employer and member level
61	Functional Requirements - 4.023, 4.024, 4.025, 4.027 and 4.027	31	These requirements all have a Priority 2, but reference the implementation of a vendor portal. However, the majority of the requirements in section 12.4 Partner (Employer/Vendor) Portal have a Priority 3. Will you confirm the priority that should be used when responding to these requirements?	Please assume priority 3 for all of the requirements in question.
62	Functional Requirements - 5.031	128	On SCERS requirement, "the ability for SCERS user to see the same screens as the participant when creating benefit estimates." Is SCERS expectation in real time?	Yes, assuming that the portal is updated real-time. The purpose of this requirement is so that the SCERS user can assist with walking a member through a process online.
63	Functional Requirements - 5.037	37	<i>Requirement 005.037 states: "The system will have the ability to identify disallowed benefit enhancements based on rules defined by SCERS in the Final Average Salary requirements document."</i>  <i>Please provide a copy of the "rules defined by SCERS".</i>	Please see the functional requirements for the calculation of Final Average Salary for the rules defined by the system. These are listed in the Functional Requirements Attachment in rules 12.008-12.012

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64	Functional Requirements - 6.021	45	<p><i>The system will calculate the Park Ranger Safety Conversion according to the following logic: Difference in contributions and interest from member's Misc. plan to Safety Tier 2 for period of 6/25/95 - 02/10/01 applied against interest factor (i.e. Redeposit Factor) based on 2/10/01 date.</i></p> <p><i>Please provide an example including the raw data that would be inputted into the system to perform the calculation described in requirement 006.021.</i></p>	<p>The salary and contribution rates/contribution amounts (based on Misc plan) for the period would already be in the member's records.</p> <p>It is anticipated that there will be (1) a table showing the historical and current contribution rates for the Safety Tier 2 as well as for all other tiers in the system and (2) the interest crediting rate for past periods.</p> <p>The contribution amounts will just need to be recalculated using the applicable contribution rates for Safety Tier 2 (SF02). The difference between the collected contributions and the recalculated contributions will need to be adjusted by the compound interest based on the interest crediting rates for the applicable periods.</p> <p>Please see the example of contribution rate table currently being used for the County payroll and interest crediting rate table (2 adjacent worksheets).</p>
65	Functional Requirements - 6.092	55	<p>#5. Is SCERS referring to electronic form (online form and submission)?</p> <p>#6. Will this be limited to simple purchases where manual entry and external data entry is not required for estimates? If so, what Purchase type would this be?</p>	<p>This requirement refers to the ability for SCERS to complete the purchase estimate and provide the purchase options to the member. The member would then be able to electronically select the purchase option and initiate the purchase electronically.</p> <p>Also, this requirement refers to providing the member with the ability to generate estimates online with manually entered data.</p>
66	Functional Requirements - 6.126	59	Is it expected that when a new purchase type is added to the system, that all associated rules, calculations, and validations will also be added by SCERS users?	Yes.

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67	Functional Requirements - 6.121		Can SCERS please clarify what is meant by capability "to upload previous purchases calculations that are in consistent excel format"? What is the problem that this would be solving?	This priority has been changed to a 3. In the instance where buyback calculations that reside outside of the current system are not processed as part of data conversion, SCERS would like to make sure that they can manually upload these on an as needed basis. They would prefer to not have to completely re-create service purchase calculations that have already been completed using historical factors that may or may not be in the new system.
68	Functional Requirements - 10.035	96	For the requirement, "The system will provide the capability to override any disability benefit calculations made by the system." What is SCERS expectation in terms of subsequent system behavior? Meaning, when calculation overrides are done, how should predecessor and subsequent calculations be handled? What is the expectation about how the system should handle calculations that are dependent upon the overridden calculation?	The expectation that subsequent calculations should be based on the overridden system data and should be recalculated if the overrides are removed.
69	Functional Requirements - 12.004	108	Regarding ability to calculate FAS, would this be used for estimate projections or for actual retirements?	This would be for both.
70	Functional Requirements - 13.001	114	What is the GL system that PAS will be interfacing?	Currently, this is unknown. SCERS currently uses a version of SAP (COMPASS), but will be replacing this system as part the IT Modernization Program. SCERS would like advice from the vendors on GL packages their systems integrate with commonly and those that may be problematic to integrate with.
71	Functional Requirements - 13.029	117	This interface with the bank is for check clearing?	Yes, as well as updating the G/L.
72	Functional Requirements - 17.044	141	Please provide example of examples of eligibility requirements that SCERS expects to be able to configure manually within the PAS system.	Membership eligibility requirements may need to be changed to accommodate things such as legislation changes that could change membership eligibility, such as someone position, that SCERS would like to be able to change at a later point in time.

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73	Functional Requirements - 17.047	141	Is the file received with demographic and employment related information a change file or full file where we will need to discern changes? Will it be possible for demographic information and employment related information to be sent in separate files that include changes only?	This report is going to be created as a component of this project and the intent is for the file to provide change information in demographic information.  Yes, it is possible to change the file to multiple files.
74	Functional Requirements - 18.044	144	<i>Requirement 018.044 refers to information that will be provided by the SCERS actuary: "The system will provide the capability to calculate a compound (Option 4) benefit based on information provided by the SCERS actuary." Please describe in detail the information that will be provided by the SCERS actuary.</i>	The SCERS actuary will provide calculation components such as option factors that are needed for each option beneficiary. The PAS is expected to process, not calculate, the benefit.
75	Functional Requirements - 18.055, 18.129 and 20.030	N/A	Can you provide the correct Priority designation for requirements 18.055, 18.129 and 20.030?	For requirement 018.055, please see the priority as listed in the attachment. For requirements 18.129 and 20.030, please use Priority 1.
76	Functional Requirements - 18.055, 18.129, and 20.03	151, 160, and 167	Please confirm the priority number for the following requirements: 018.055, 018.129, and 020.030.	018.055, 018.129, and 020.030 are all priority 1.
77	Functional Requirements - 19.011	162	<i>The system will provide the ability to calculate the pro-rated payment that needs to be issued to a re-hire/reinstated benefit recipient.  Please clarify the plan rule provisions to determine the pro-rated payment as per requirement 019.011.</i>	If a retiree/disability recipient reinstates into active service, the system must be able to calculate the portion of the benefit from the beginning of the month up until the day prior to them reinstating an issue a new check to them.

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78	Functional Requirements - 19.011	190	<p><i>The system will keep a record of the factors used to perform estimates for a SCERS specified number of times and will make the factors available to SCERS staff.</i></p> <p><i>Please identify the factors that must be made available to SCERS staff, as per requirement 19.011.</i></p>	At a minimum, the Service Credit, Age Factor, Final Average Compensation and Years of Service must be retained for SCERS to access.
79	Functional Requirements - 20.011	165	Is the expectation that SCERS will be able to configure rule based conditions that will automatically determine denial of reciprocity?	Yes.
80	Functional Requirements - 20.020-20.025, 23.008, 23.009, 23.016 and 23.017	166, 187, and 188	Please provide a copy of SCERS Form 6125, referred to in requirements 20.020-20.025, 23.008, 23.009, 23.016 and 23.017.	This has been sent to all interested bidders.
81	Functional Requirements - 20.06	171	Is the expectation that upon updating the reciprocal status to 'Ineligible' the system will automatically recalculate contributions without any parameter based inputs from the user?	Yes, this is the expectation.
82	Functional Requirements - 24.005	190	Will users be expected to manually enter parameters for contributions calculation or is the expectation for the calculation to be based on the prior pay period contributions and assume the same parameters?	The expectation is that the system will use the most recent contribution information to estimate the amount that a member may have deducted from their next paycheck. The member should also be able to enter their own information. This requirement has been changed to a Priority 3.
83	Functional Requirements - 24.047	216	<p>Can SCERS please confirm credit card is only acceptable method of payment via web portal?</p> <p>Can SCERS also define all types of transactions that can be paid through the member portal (overpayments, healthcare, purchases, etc.)?</p>	Other payment methods would be considered as well, such as ACH. SCERS is expecting the PAS to create a member receivable any time member payment is required - the most common scenarios are purchases made with post-tax dollars and healthcare premiums for members whose benefit payments are not sufficient to cover the costs.
84	Functional Requirements - 24.061	200	Can an electronic signature in self-service be considered a date and time stamped entry made by the user visible in the audit log? If not, is there a preferred tool for integration with the system?	The eSignature specifications will need to be defined as part of the project.

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85	Functional Requirements - General	21	Account Payable view would be at an Employer level?	Account payable should at both the employer and member level
86	Functional Requirements - General	132	<p><i>The Retirement Handbook available online has information about Miscellaneous Tiers 1, 2, and 3 and Safety Tiers 1 and 2. However, requirement 016.017 also refers to Tiers 4 and 5:</i></p> <p><i>“The system will provide the capability to enhance SCERS’ active member statements with additional specific member data that may include:</i></p> <ul style="list-style-type: none"> <li><i>• Member name, • Current mailing address, • Soc. Sec. Number (last 4 digits)</i></li> <li><i>• Department, • Date of birth, • Date of employment, • Employment status</i></li> <li><i>• Plan (Miscellaneous or Safety), • Tier (1, 2, 3, 4, 5), [...]</i></li> </ul> <p><i>The system will provide the capability to enhance SCERS’ Retired Member statements with additional specific data that may include:</i></p> <ul style="list-style-type: none"> <li><i>• Retired Member name, • Current mailing address, • Soc. Sec. Number (last 4 digits), • Date of birth, • Plan (Miscellaneous or Safety), • Tier (1, 2, 3, 4, 5), [...]</i>” <p><i>Please provide information on Tiers 4 and 5 and confirm whether they are open or closed to new members.</i></p> </li></ul>	Please see the requirements listed in the Functional Requirements Attachment for the New Hire/Re-Hire process. The details for the new Miscellaneous and Safety Tiers are provided with the .pdf. Additional information on these tiers is contained within the Functional Requirements Attachment. For new members without re-entry rights, they would go into Miscellaneous Tier 5 or Safety Tier 4 depending on their position. These benefits were established as part of PEPRA as of 1/1/2013.
87	Functional Requirements - General	1	<p><i>Under 1 Response Questions, Question 3 refers to priority 4 requirements, however there are no priority 4 requirements listed in the Functional Requirements.</i></p> <p><i>Please clarify that Question 3 is not applicable and should not be answered or provide the priority 4 requirements.</i></p>	For the functional requirements response, please skip question 3 since it is not applicable to the functional requirements.

No.	RFP Section	RFP Page	Respondent Question	SCERS Response
88	Functional Requirements - General	N/A	<p>There are instances where there are duplicated requirements with differing priorities. Will you confirm the priority that should be used when responding to duplicate requirements?</p> <p>Examples include, but are not limited to: 009.042, 009.051, 018.053 and 018.121.</p>	<p>In instances where requirements are similar or duplicated for the functional requirement, please assume the higher priority level for the requirement (1 being the highest). Unless otherwise clarified, assume the higher priority is correct.</p>
89	General	N/A	<p>Please confirm if SCERS has approved an overall budget amount for the Implementation Period, Warranty Period, and Maintenance and Support (Years 5 &amp; 6) and if it will be made available.</p>	<p>SCERS has not released a specific budget for the implementation.</p>
90	General		<p>Would SCERS entertain a second question date just after the Bidders Conference?</p>	<p>SCERS believes that between the bidders' conference and individual meetings the vendors have sufficient opportunity to have questions answered.</p>
91	General		<p>How many employers does SCERS have? How many different employer reporting file layouts are used today? How many should be assumed for the implementation?</p>	<p>SCERS currently has 10 employers that report in the same format.</p>
92	General		<p>How many internal end users does SCERS anticipate? Can SCERS provide a breakdown of staff from Business and IT? Also provide the IT skill sets (Java, .net, Oracle, etc.)?</p>	<p>See the answer to question 43 above.</p>



No.	RFP Section	RFP Page	Respondent Question	SCERS Response
93	General		<p>Does SCERS have a preference phased implementation approach in how they want to deliver the following core functional areas required in SCERS's Pension System:</p> <ul style="list-style-type: none"> <li>A. 1099R Issuance</li> <li>B. 415(b)</li> <li>C. Active (Employer) Payroll Data</li> <li>D. Actuarial Extracts</li> <li>E. Benefit Estimates</li> <li>F. Buybacks (Service Purchase)</li> <li>G. COLA / Special COLA</li> <li>H. Counseling</li> <li>I. Death Processing</li> <li>J. Disability</li> <li>K. DRO</li> <li>L. Final Average Salary Calculations</li> <li>M. General Ledger Extracts</li> <li>N. Payroll Deductions (Vendor Payments)</li> <li>O. Interest Posting</li> </ul>	<p>SCERS does not have specified approach to the implementation of these functional areas. This will be something that the vendor must work with SCERS on developing. However, since ICON does have a standard methodology to the data conversion, we anticipate that this may drive the development sequence. SCERS intends to implement a new G/L package immediately following the PAS implementation; this should be taken into account in the vendor's proposal if multi-phasing is proposed (as long as there is no back-bridging).</p>
94	Technical Requirements – 1.4	9	<p>The RFP noted that some employers use the portal to upload payroll data and other employers don't. Do all employers, regardless of how their files are submitted, use the same file format?</p>	<p>Employers are currently reporting in the same file format. There are exceptions that require manual entry.</p>
95	Technical Requirements - 1.4	N/A	<p>What version of Microsoft Office is SCERS using today?</p>	<p>MS Office 2010. SCERS will likely be upgrading to MS Office 2013 in the next 18 months.</p>
96	Technical Requirements - 12.4	N/A	<p>Requirements 25.97, 25.98, and 25.99 are Priority 3. However, Requirements 25.100 and 25.101 are Priority 2. Please confirm that all requirements for section 12.4 Partner (Employer/Vendor) Portal are Priority 3.</p>	<p>In the instance where requirements 25.97-25.99 are fulfilled, requirements 25.100-25.101 must be adhered to. The requirements are correctly prioritized.</p>
97	Technical Requirements - 12.5	N/A	<p>Can SCERS confirm that PCI Compliance is <u>not</u> a requirement to meet the security protocols commonly used with banking mobile applications since credit card data is not used with the PAS?</p>	<p>We cannot answer this question at this time. We need greater clarification on this standard and the consequences of meeting or not meeting it.</p>

No.	RFP Section	RFP Page	Respondent Question	SCERS Response
98	Technical Requirements - 25.34	N/A	Please define "E-Sign" (requirement 25.34) and clarify if it refers to a 3 <sup>rd</sup> party e-signature service or does it simply mean that the member has to be authenticated by the solution Member Portal by providing credentials.	The eSignature specifications will need to be defined as part of the project.
99	Technical Requirements - 25.53	N/A	Requirement 25.53 indicates that AD is required for internal applications.  Please confirm if AD is also required for external users.	We do not anticipated AD is needed for external users.